



Docket No.: 2026-4341

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : HWU, et al.

Serial No. : 09/803,578

Group Art Unit : To Be Assigned

Filed : March 9, 2001

Examiner: To Be Assigned

For : ACTIVATED DUAL SPECIFICITY LYMPHOCYTES AND THEIR
METHODS

CERTIFICATE OF MAILING (37 C.F.R. 1.8((a))

Commissioner For Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

I hereby certify that the attached

- 1) Response to Notice to File Missing Parts of Nonprovisional Application;
- 2) Executed Combined Declaration and Power of Attorney (3 pages);
- 3) Transmittal of Declaration Pursuant to 37 C.F.R. §1.63;
- 4) Check in the amount of \$130.00;
- 5) Copy of Notice dated July 6, 2001, and

6) Return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner For Patents, Attn: Box Missing Parts, Washington, D.C. 20231.

Respectfully submitted,

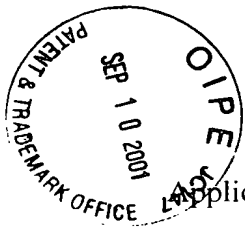
MORGAN & FINNEGAN, L.L.P.

Date: September 5, 2001

By: Evelyn M. Kwon

Evelyn M. Kwon

CORRESPONDENCE ADDRESS:
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New York, New York 10154
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(212) 751-6849 (Telecopier)



Docket No. 2026-4341

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HWU et al.

Group Art Unit: TBA

Serial No.: 09/803,578

Examiner: TBA

Filed: March 9, 2001

For: ACTIVATED DUAL SPECIFICITY LYMPHOCYTES AND THEIR METHODS

TRANSMITTAL OF DECLARATION PURSUANT TO 37 C.F.R. §1.63

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a declaration pursuant to 37 C.F.R. §1.63 for the above-identified application.

The surcharge, pursuant to 37 C.F.R. §1.16(e), for filing a declaration on a date later than the filing date of the application is as follows:

- ☒ Filing by other than a small entity (\$130)
- ☐ Filing by a small entity (\$65)
- ☒ A check in the amount of \$130.00 to cover the surcharge fee is enclosed.
- ☐ Charge surcharge fee to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2026-4341. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: September 5, 2001

By: Dorothy R. Auth

Dorothy R. Auth
Registration No. 36,434

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
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PATENT
Docket No. 2026-4341

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hwu et al. Group Art Unit: To Be Assigned
Serial No. : 09/803,578 Examiner: To Be Assigned
Filed : March 9, 2001
For : ACTIVATED DUAL SPECIFICITY LYMPHOCYTES AND THEIR METHODS
OF USE

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application, dated July 6, 2001, submitted herewith is the Oath or Declaration and late filing fee (\$130.00). Also enclosed is a copy of the Notice to File Missing Parts.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2026-4341. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: September 5, 2001

By: Dorothy R. Auth
Dorothy R. Auth
Registration No. 36,434

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,578	03/09/2001	Patrick Hwu	2026-4341

CONFIRMATION NO. 6841

FORMALITIES LETTER



OC000000006265134

 MORGAN & FINNEGAN, L.L.P.
 345 Park Avenue
 New York, NY 10154-0053

Date Mailed: 07/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Jagdish
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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